

# NASD OTC SECURITIES CATEGORIZATION SCHEDULE AS AT 22 JULY 2022



## NASD OTC SECURITIES EXCHANGE (NASD PLC) - SECURITIES CATEGORIZATION

In line with our mandate as a Self-Regulatory Organisation licensed by the Securities and Exchange Commission [“SEC”] in Nigeria, NASD Plc has concluded our bi-annual company categorisation for securities trading on the NASD OTC securities exchange. Categorization of Admitted Securities is predicated on level of compliance.

NASD compiles objectives and carefully selected criteria to derive a score for each security. The scoring grid related to these criteria is significantly weighted to reflect governance and compliance requirements such as timeliness of financial reporting. The scores are then translated into one of three categories (NASD Blue, NASD Pink and NASD Red).

Find in the next pages the performance of all securities for the H1 of 2022 Categorisation Exercise.

## NASD OTC SECURITIES CATEGORIZATION SCHEDULE AS AT 22 JULY 2022



**Blue Category:** Issuer has demonstrated full compliance on disclosure of financial reporting and publications.

<b>Category: Blue</b>							
<b>Name of Company</b>	<b>Security Code</b>	<b>Most Recent AGM Date</b>	<b>Annual Report</b>	<b>Quarterly Report</b>	<b>Open Price 2022 (₦)</b>	<b>Close Price H2 2022 (₦)</b>	<b>Market Cap. (₦'Bn)</b>
11 PLC	SD11PLC	24-May-22	Available	Available	215.00	180.00	64.90
Access Bank PLC	SDACCESS	28-Mar-22	Available	Available	10.00	9.95	353.67
AG Mortgage Bank PLC	SDAGMBANK	30-Sep-21	Available	Available	0.58	0.52	4.03
Air Liquide PLC	SDAIRLIQ	15-Jul-22	Available	Available	5.5	4.95	0.89
Central Securities Clearing System PLC	SDCSCSPCL	6-May-22	Available	Available	18.25	14.40	72.00
CITITRUST Holdings PLC	SDCITITRUST	6-May-22	Available	Available	5.50	6.85	6.85
Friesland Campina WAMCO Nigeria PLC	SDFCWAMCO	26-May-22	Available	Available	118.43	96.79	118.99
NASD PLC	SDNASDPLC	4-Jul-22	Available	Available	18.7	15.00	7.39
Niger Delta Exploration & Production PLC	SDNDEP	21-Jul-22	Available	Available	235.5	191.79	34.79
Nigeria Mortgage Refinance Company PLC	SDNMRCPLC	28-Jul-22	Available	Available	5.24	5.24	1.11
UBN Property Company PLC	SDUBNPROP	23-Jun-20	Available	Available	1.15	1.14	6.41
VFD Group PLC	SDVFDGROUP	31-May-22	Available	Available	361.82	244.88	46.53

## NASD OTC SECURITIES CATEGORIZATION SCHEDULE AS AT 22 JULY 2022



**Pink Category:** Issuer has demonstrated partial compliance on disclosure of financial reporting and publications.

<b>Category: Pink</b>							
<b>Name of Company</b>	<b>Security Code</b>	<b>Most Recent AGM Date</b>	<b>Annual Report</b>	<b>Quarterly Report</b>	<b>Open Price 2022 (₦)</b>	<b>Close Price H2 2022 (₦)</b>	<b>Market Cap. (₦'Bn)</b>
Afriland Properties PLC	SDAFRILAND	22-Mar-21	Available	Available	1.07	1.00	1.37
Capital Bancorp PLC	SDCBANCO	15-Jul-22	Unavailable	Unavailable	3.83	2.83	2.16
Cappa & D'Alberto PLC	SDCAPDBETO	Nil	Unavailable	Unavailable	33.00	33.00	8.12
CR Services PLC	SDCRSBUR	Nil	Available	Unavailable	1.90	1.90	0.08
Dufil Prima Foods PLC	SDDUFIL	16-May-19	Available	Unavailable	9.00	9.00	60.78
Firsttrust Mortgage Bank PLC	SDFSTTRUSTMB	Nil	Unavailable	Available	0.57	0.57	2.66
Food Concepts PLC	SDFOODCPT	17-Oct-19	Available	Unavailable	0.81	0.95	5.55
Fumman Agricultural Product Ind. PLC	SDFUMMAN	Nil	Unavailable	Unavailable	1.58	1.58	5.68
Industrial and General Insurance PLC	SDIGIPLC	Nil	Unavailable	Unavailable	0.08	0.08	1.13
Lighthouse Financial Services PLC	SDLIGHTFSP	Nil	Unavailable	Unavailable	0.50	0.50	1.07
Mixta Real Estate PLC	SDMIXREAL	18-Sept-21	Available	Unavailable	1.76	1.76	17.29
Newrest ASL Nigeria PLC	SDNEWREST	15-May-19	Available	Unavailable	11.00	11.00	6.97
NIPCO PLC	SDNIPCOPLC	23-Jun-22	Available	Unavailable	70.00	58.85	11.04
Resourcery PLC	SDRSOURCE	26-May-22	Available	Unavailable	0.45	0.45	1.72
The Infrastructure Bank PLC	SDINFRABANK	Nil	Unavailable	Unavailable	0.52	0.52	3.22
Vital Products PLC	SDVITPROD	Nil	Unavailable	Unavailable	2.33	2.10	3.82

## NASD OTC SECURITIES CATEGORIZATION SCHEDULE AS AT 22 JULY 2022



**Red Category:** Issuer is deficient in their disclosure of financial reporting and publications.

<b>Category: Red</b>							
<b>Name of Company</b>	<b>Security Code</b>	<b>Most Recent AGM Date</b>	<b>Annual Report</b>	<b>Quarterly Report</b>	<b>Open Price 2022 (₦)</b>	<b>Close Price H2 2022 (₦)</b>	<b>Market Cap. (₦'Bn)</b>
Acorn Petroleum PLC	SDACORN	Nil	Unavailable	Unavailable	0.15	0.14	0.28
Costain (West Africa) PLC	SDCOSTAIN	Nil	Unavailable	Unavailable	0.50	0.50	0.54
Famad Nigeria PLC	SDFAMADPLC	Nil	Unavailable	Unavailable	1.25	1.25	0.23
Fan Milk PLC	SDFANMILK	25-Jun-19	Available	Unavailable	20.00	20.00	0.23
Free Range Farms PLC	SDFARMSPLC	Nil	Unavailable	Unavailable	1.00	1.00	0.51
Geo-Fluids PLC	SDGEFLUID	Nil	Unavailable	Unavailable	0.70	0.70	0.29
Golden Capital PLC	SDGOLDEN	Nil	Unavailable	Unavailable	1.00	1.00	1.17
Great Nigeria Insurance PLC	SDGNI	Nil	Available	Available	0.50	0.50	1.91
International Packaging Ind. of Nigeria PLC	SDIPIPLC	Nil	Unavailable	Unavailable	0.50	0.50	0.02
Mass Telecom Innovation PLC	SDMASTCOM	NIL	Unavailable	Unavailable	0.45	0.45	2.20
Riggs Ventures West Africa PLC	SDRIGGS	Nil	Unavailable	Unavailable	0.95	0.95	4.44
Swap Technologies & Telecomms PLC	SDSWAPPLC	Nil	Unavailable	Unavailable	0.64	0.64	1.73